

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Peter C. Ruenitz

Docket No.: 235.0006 0102

**ESTROGEN MIMETICS LACKING REPRODUCTIVE TRACT EFFECTS**

Assistant Commissioner for Patents  
 ATTN: Box **PATENT APPLICATION**  
 Washington, D.C. 20231

J1050 U.S. PTO  
**09/981617**  
 10/15/01

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

☒ **UTILITY PATENT APPLICATION** including:

☒ Specification (35 consecutively numbered pgs, including 39 claims on 6 consecutively numbered pgs and a 1 pg Abstract);

☒ Drawings (Figures 1, 2A-2D and 3 on 6 sheets);

☒ A copy of signed Declaration and Power of Attorney ( 4 pgs).

☐ Application Data Sheet ( \_ pgs).

☒ An itemized return postcard.

☐ An Assignment of the invention to \_\_ and Recordation Form Cover Sheet.

☐ A check in the amount of \$40.00 to pay the Assignment Recording Fee.

☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.

☐ Computer readable form of "Sequence Listing." Applicants state that the paper copy form of the "Sequence Listing" section of the present application, and the computer readable form submitted herewith, are the same.

☒ Other: Request for Filing a Continuation Application Under Rule §1.53(b) (3 pgs) .

☒ Other: Information Disclosure Statement (2 pgs); and 1449 forms (4 pgs).

☒ Please charge Deposit Account No. 13-4895 in the amount of \$370.00 for the application filing fee.

☒ **Small Entity Status is entitled to be asserted in the above-identified application.**

**APPLICATION FILING FEE**

	Number of Pending Claims (1)	Claims Included in Basic Filing Fee (2)	Number of Extra Claims (1-2)	Cost per Extra Claim	Fee Required
Total Claims	13	20	0	x \$9 =	0
Independent Claims	2	3	0	x \$42 =	0
One or More Multiple Dependent Claims Presented? If Yes, Enter \$140 Here →					0
Enter Basic Filing Fee (Utility Patent-\$370) Here →					370
<b>Total Application Filing Fee</b>					<b>370</b>

**Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.**

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: **26813**

By: Victoria A. Sandberg

Name: Victoria A. Sandberg

Reg. No.: 41,287

Direct Dial: 612-305-1226

Facsimile: 612-305-1228

**CERTIFICATE UNDER 37 CFR §1.10:**

"Express Mail" mailing label number: EL776903115US

Date of Deposit: October 15, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Attn: Box PATENT APPLICATION, Washington, D.C. 20231.

By: Gara L. Ladwig

Name: Gara L. Ladwig

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.10)

10/15/01

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P.6  
036.0006 0102  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number	Anticipated Classification		Prior Application	
036.0006 0102	Class	Subclass	Examiner L. Maier	Art Unit 1623

REQUEST FOR FILING A CONTINUATION APPLICATION  
UNDER RULE §1.53(b)

Assistant Commissioner for Patents  
Attn: Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

This is a request for filing a continuation application under 37 CFR §1.53(b) of Serial No. 09/363,911, filed on July 28, 1999, entitled ESTROGEN MIMETICS LACKING REPRODUCTIVE TRACT EFFECTS by the following inventor (name, address, and citizenship):

Peter C. Ruenitz  
1676 Kings Down Circle  
Atlanta, Georgia 30338  
U.S.A.

1. ☐ Enclosed is a copy of the specification (including claims, abstract, and drawings) as filed in the prior application.
2. ☒ Enclosed is a new specification (including claims and abstract). The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.
3. ☒ Enclosed is a copy of the Declaration and Power of Attorney filed in the prior application.
4. ☐ Enclosed is a newly executed Declaration and Power of Attorney.
5. ☒ Enclosed are drawings (6 sheets).
6. ☒ Cancel in this application claims 1-26 before calculating the filing fee. (At least one independent claim must be retained for filing purposes.)
7. ☐ A preliminary amendment is enclosed.

8. ☒ The filing fee is calculated below:

CLAIMS AS FILED			
NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$740/\$370
Total Claims 13-20 =		\$9	\$0
Independent Claims 2 -3 =		\$42	\$0
MULTIPLE DEPENDENT CLAIM PRESENTED		\$140	\$0
FILING FEE:			\$370

9. ☐ A check in the amount of \$\_\_\_\_\_ is attached.
10. ☒ Please charge PTO Deposit Account No. 13-4895 in the amount of \$370 for the continuation application filing fee.
11. ☒ The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-4895.
12. ☐ This application is being filed by less than all of the inventors named in the prior application. Please delete the names of the following inventor(s) who are not inventors of the invention claimed in the present application:
13. ☒ Amend the specification by deleting the paragraph at page 1, lines 5-6, and inserting therefor: -- This is a continuation of application Serial No. 09/363,911, filed July 28, 1999, which claims the benefit of U.S. Provisional Application Serial No. 60/094,944, filed July 31, 1998, both of which are incorporated herein by reference.--
14. ☐ Priority of foreign application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in (country) \_\_\_\_\_ is claimed under 35 U.S.C. §119.
15. ☒ The prior application is assigned of record to The University of Georgia Research Foundation, Inc..

Filed: ON EVEN DATE HERewith

Title: ESTROGEN MIMETICS LACKING REPRODUCTIVE TRACT EFFECTS

16. X The Power of Attorney in the prior application is to:

**Victoria A. Sandberg**  
Mueting, Raasch & Gebhardt, P.A.  
P.O. Box 581415  
Minneapolis, MN 55458-1415  
**Customer Number 26813**

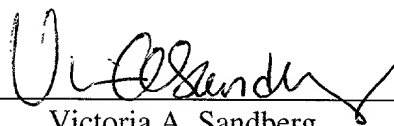
17. X Small Entity Status is entitled to be asserted in the above-identified application.

Address all future communications to:

MUETING, RAASCH & GEBHARDT, P.A.  
P.O. Box 581415  
Minneapolis, Minnesota 55458-1415  
**Customer Number 26813**

Attn: Victoria A. Sandberg  
(Telephone: 612-305-1220)  
(Facsimile: 612-305-1228)

Date: 15 October 2001

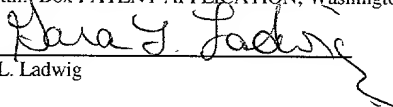


Victoria A. Sandberg  
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Name: Gara L. Ladwig